

**EXPRESS MAIL NO.: EV 408045043 US**

**Patent Application**

**Attorney Docket No. D/A3207IQ**

**A THERMAL ACTUATOR WITH OFFSET BEAM SEGMENT NEUTRAL  
AXES AND AN OPTICAL WAVEGUIDE SWITCH INCLUDING THE SAME**

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**CROSS-REFERENCE TO RELATED APPLICATION**

This is a continuation-in-part of its commonly-assigned "parent" prior application number 10/634,941, filed 5 August 2003, now pending, attorney docket D/A3207, by Joel A. Kubby et al., the same inventors as in the 5 present application, entitled "A thermal actuator and an optical waveguide switch including the same", the disclosure of which prior application is hereby incorporated by reference verbatim, with the same effect as though such disclosure were fully and completely set forth herein.

This application is related to the commonly-assigned application 10 number \_\_\_\_\_, filed on the same date as the present application, now pending, attorney docket D/A3207I, by Joel A. Kubby et al., the same inventors as in the present application, entitled "A thermal actuator and an optical waveguide switch including the same".

**INCORPORATION BY REFERENCE OF OTHER PATENTS, PATENT**

15 **APPLICATIONS AND PUBLICATIONS**

The disclosures of the following thirteen (13) U.S. patents are hereby incorporated by reference, verbatim, and with the same effect as though the same disclosures were fully and completely set forth herein:

Joel Kubby, U.S. Pat. No. 5,706,041, "Thermal ink-jet printhead with a suspended heating element in each ejector," issued January 6, 1998;

Joel Kubby, U.S. Pat. No. 5,851,412, "Thermal ink-jet printhead with a suspended heating element in each ejector," issued December 22, 5 1998;

Joel Kubby et al., U.S. Pat. No. 6,362,512, "Microelectromechanical structures defined from silicon on insulator wafers," issued March 26, 2002;

Joel Kubby et al., U.S. Pat. No. 6,379,989, "Process for manufacture of microoptomechanical structures," issued April 30, 2002;

Phillip D. Floyd et al., U.S. Pat. No. 6,002,507, "Method and apparatus for an integrated laser beam scanner," issued December 14, 1999;

Phillip D. Floyd et al., U.S. Pat. No. 6,014,240, "Method and apparatus for an integrated laser beam scanner using a carrier substrate," 15 issued January 11, 2000;

Robert L. Wood et al., U.S. Pat. No. 5,909,078, "Thermal arched beam microelectromechanical actuators," issued June 1, 1999;

Vijayakumar R. Dhuler et al., U.S. Pat. No. 5,994,816, "Thermal arched beam microelectromechanical devices and associated fabrication methods," issued November 30, 1999;

Vijayakumar R. Dhuler et al., U.S. Pat. No. 6,023,121, "Thermal arched beam microelectromechanical structure," issued February 8, 2000;

Vijayakumar R. Dhuler et al., U.S. Pat. No. 6,114,794, "Thermal arched beam microelectromechanical valve," issued September 5, 2000;

Vijayakumar R. Dhuler et al., U.S. Pat. No. 6,255,757, "Microactuators including a metal layer on distal portions of an arched beam," issued July 3, 2001;

Vijayakumar R. Dhuler et al., U.S. Pat. No. 6,324,748, "Method of fabricating a microelectro mechanical structure having an arched beam," issued December 4, 2001; and

5 Edward A. Hill et al., U.S. Pat. No. 6,360,539, "Microelectromechanical actuators including driven arched beams for mechanical advantage," issued March 26, 2002.

The disclosures of the following four (4) U.S. patent applications are hereby incorporated by reference, verbatim, and with the same effect as though the same disclosures were fully and completely set forth herein:

10 Joel Kubby, U.S. Pat. Application No. 09/683,533, "Systems and methods for thermal isolation of a silicon structure," filed January 16, 2002, now U.S. Patent Application Publication No. 20030134445, published July 17, 2003, attorney docket number D/A1129;

15 Joel Kubby, U.S. Pat. Application No. 60/456,086, "MxN Cantilever Beam Optical Waveguide Switch," filed March 19, 2003, attorney docket number D/A2415P;

20 Joel Kubby et al., U.S. Pat. Application No. 09/986,395, "Monolithic reconfigurable optical multiplexer systems and methods," filed November 8, 2001, now U.S. Patent Application Publication No. 20030086641, published May 8, 2003, attorney docket number D/A1063; and

Joel Kubby et al., U.S. Pat. Application No. 60/456,063, "MEMS Optical Latching Switch," filed March 19, 2003, attorney docket number D/A2415QP.

25 The disclosures of the following three (3) publications are hereby incorporated by reference, verbatim, and with the same effect as though the same disclosures were fully and completely set forth herein:

Yogesh B. Gianchandani and Khalil Najafi, "Bent-Beam Strain Sensors," Journal of Microelectromechanical Systems, Vol. 5, No.1, March 1996, pages 52-58;

- Long Que, Jae-Sung Park and Yogesh B. Gianchandani, "Bent-Beam Electrothermal Actuators," Journal of Microelectromechanical Systems, Vol. 10, No. 2, June 2001, pages 247-254; and
- John M. Maloney, Don L. DeVoe and David S. Schreiber, 5 "Analysis and Design of Electrothermal Actuators Fabricated from Single Crystal Silicon," Proceedings ASME International Mechanical Engineering Conference and Exposition, Orlando, FL, pages 233-240, 2000.

#### FIELD OF THE INVENTION

This application relates generally to thermal actuators and more 10 particularly to a thermal actuator that is suitable for use in an optical waveguide switch.

#### BACKGROUND OF THE INVENTION

The traditional thermal actuator, the "V-beam" actuator, is widely used in microelectromechanical or "MEMS" structures. Such actuators are described in U.S. Patent No. 5,909,078 to Robert L. Wood et al.; and in the 15 U.S. Patents to Vijayakumar R. Dhuler et al., Number 5,994,816, Number 6,023,121, Number 6,114,794, Number 6,255,757 and Number 6,324,748; and in U.S. Patent No. 6,360,539 to Edward A. Hill et al., all of the foregoing 20 patents being incorporated by reference herein; and in the publication of Long Que, Jae-Sung Park and Yogesh B. Gianchandani, "Bent-Beam Electrothermal Actuators"; and in the publication of John M. Maloney, Don L. DeVoe and David S. Schreiber, "Analysis and Design of Electrothermal Actuators Fabricated from Single Crystal Silicon," both of which publications are incorporated by reference herein.

However, these actuators are sensitive to residual stresses, especially the stress introduced by doping during fabrication of the actuator.

Indeed, the bent-beam geometry used in these actuators has been used in bent-beam strain sensors to measure residual stress as described in the publication of Yogesh B. Gianchandani and Khalil Najafi,

"Bent-Beam Strain Sensors," which publication is incorporated by reference herein.

The residual stress in the V-beam actuator acts to deflect the V-beams away from their originally-designed target locations since the beam angle gives rise to a transverse force. Moreover, when such a V-beam actuator is used in an optical waveguide switch, this residual stress results in waveguide misalignment. The amount of optical loss caused by this waveguide misalignment is substantial. As a result, currently the V-beam actuator is generally unacceptable for use in an optical waveguide switch.

Thus, there is a need for an actuator that is acceptable for use in an optical waveguide switch.

#### SUMMARY OF THE INVENTION

In a first aspect of the invention, there is described a thermal actuator comprising a substrate having a surface; a first support and a second support disposed on the surface and extending orthogonally therefrom; a beam extending between the first support and the second support, the beam having a first side, a second side, a beam length and a beam mid-point, the beam being substantially straight along the first side; the beam comprised of a plurality of beam segments, each beam segment of the plurality of beam segments having a beam segment neutral axis, the beam thus forming a corresponding plurality of beam segment neutral axes; wherein the plurality of beam segment neutral axes corresponding to the beam vary along the beam length based on a predetermined pattern; so that a heating of the beam causes a beam buckling and the beam mid-point to translate in a predetermined direction generally normal to and outward from the second side.

In a second aspect of the invention, there is described a thermal actuator comprising a substrate having a surface; a first support and a second support disposed on the surface and extending orthogonally therefrom; a

- plurality of beams extending in parallel between the first support and the second support, thus forming a beam array; each beam of the beam array having a first side, a second side, a beam length and a beam mid-point, each beam being substantially straight along its first side; each beam of the beam
- 5 array comprised of a plurality of beam segments, each beam segment of the plurality of beam segments having a beam segment neutral axis, each beam thus forming a corresponding plurality of beam segment neutral axes; wherein the plurality of beam segment neutral axes corresponding to each beam vary along the beam length based on a predetermined pattern; an included
- 10 coupling beam extending orthogonally across the beam array to couple each beam of the beam array substantially at the corresponding beam mid-point; so that a heating of the beam array causes a beam array buckling and the coupling beam to translate in a predetermined direction generally normal to and outward from the second sides of the array beams.
- 15 In a third aspect of the invention, there is described an optical waveguide switch comprising a thermal actuator, the thermal actuator comprising a substrate having a surface; a first support and a second support disposed on the surface and extending orthogonally therefrom; a beam extending between the first support and the second support, the beam having a first side, a second side, a beam length and a beam mid-point, the beam being substantially straight along the first side; the beam comprised of a plurality of beam segments, each beam segment of the plurality of beam segments having a beam segment neutral axis, the beam thus forming a corresponding plurality of beam segment neutral axes; wherein the plurality of beam segment neutral axes corresponding to the beam vary along the beam length based on a predetermined pattern; so that a heating of the beam causes a beam buckling and the beam mid-point to translate in a predetermined direction generally normal to and outward from the second side.
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- 25

In a fourth aspect of the invention, there is described an optical waveguide switch comprising a thermal actuator, the thermal actuator comprising a substrate having a surface; a first support and a second support disposed on the surface and extending orthogonally therefrom; a plurality of beams extending in parallel between the first support and the second support, thus forming a beam array; each beam of the beam array having a first side, a second side, a beam length and a beam mid-point, each beam being substantially straight along its first side; each beam of the beam array comprised of a plurality of beam segments, each beam segment of the plurality of beam segments having a beam segment neutral axis, each beam thus forming a corresponding plurality of beam segment neutral axes; wherein the plurality of beam segment neutral axes corresponding to each beam vary along the beam length based on a predetermined pattern; an included coupling beam extending orthogonally across the beam array to couple each beam of the beam array substantially at the corresponding beam mid-point; so that a heating of the beam array causes a beam array buckling and the coupling beam to translate in a predetermined direction generally normal to and outward from the second sides of the array beams.

**BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING**

FIG. 1 is a block diagram of an optical waveguide switch 100a comprising a first embodiment 200 of a thermal actuator.

FIG. 2 is a block diagram of an optical waveguide switch 100b comprising a second embodiment 300 of thermal actuator.

FIG. 3 is a block diagram of an optical waveguide switch 100c comprising a third embodiment 400 of a thermal actuator.

FIGS. 4-6 depict the first embodiment 200 of the thermal actuator as follows:

FIG. 4 is an elevated top-down "birds-eye" view of the thermal actuator 200, including a first reference line 5 and a second reference line 6.

FIG. 5 is a first "cut-away" side or profile view of the thermal actuator 200 along the FIG. 4 first reference line 5.

FIG. 6 is a second "cut-away" side or profile view of the thermal actuator 200 along the FIG. 4 second reference line 6.

5 FIGS. 7-9 depict the second embodiment 300 of the thermal actuator as follows:

FIG. 7 is an elevated top-down "birds-eye" view of the thermal actuator 300, including a first reference line 8 and a second reference line 9.

10 FIG. 8 is a first "cut-away" side or profile view of the thermal actuator 300 along the FIG. 7 first reference line 8.

FIG. 9 is a second "cut-away" side or profile view of the thermal actuator 300 along the FIG. 7 second reference line 9.

FIGS. 10-12 depict the third embodiment 400 of the thermal actuator as follows:

15 FIG. 10 is an elevated top-down "birds-eye" view of the thermal actuator 400, including a first reference line 11 and a second reference line 12.

FIG. 11 is a first "cut-away" side or profile view of the thermal actuator 400 along the FIG. 10 first reference line 11.

20 FIG. 12 is a second "cut-away" side or profile view of the thermal actuator 400 along the FIG. 10 second reference line 12.

FIG. 13 is a block diagram of an optical waveguide switch 100d comprising a fourth embodiment 500 of a thermal actuator.

25 FIG. 14 is a block diagram of an optical waveguide switch 100e comprising a fifth embodiment 600 of thermal actuator.

FIG. 15 is a block diagram of an optical waveguide switch 100f comprising a sixth embodiment 700 of a thermal actuator.

FIG. 16 is a block diagram of an optical waveguide switch 100g comprising a seventh embodiment 800 of a thermal actuator.

FIG. 17 is a block diagram of an optical waveguide switch 100h comprising an eighth embodiment 900 of thermal actuator.

FIG. 18 is a block diagram of an optical waveguide switch 100i comprising a ninth embodiment 1000 of a thermal actuator.

5 FIG. 19 is an elevated top-down "birds-eye" view of the fourth embodiment 500 of the thermal actuator, including reference lines 20-24.

FIG. 20 is a "cut-away" side or profile view of the thermal actuator 500 along the reference line 20.

10 FIG. 21 is a "cut-away" side or profile view of the thermal actuator 500 along the reference line 21.

FIG. 22 is a "cut-away" side or profile view of the thermal actuator 500 along the reference line 22.

FIG. 23 is a "cut-away" side or profile view of the thermal actuator 500 along the reference line 23.

15 FIG. 24 is a "cut-away" side or profile view of the thermal actuator 500 along the reference line 24.

FIG. 25 is an elevated top-down "birds-eye" view of the fifth embodiment 600 of the thermal actuator, including reference lines 26-30.

20 FIG. 26 is a "cut-away" side or profile view of the thermal actuator 600 along the reference line 26.

FIG. 27 is a "cut-away" side or profile view of the thermal actuator 600 along the reference line 27.

FIG. 28 is a "cut-away" side or profile view of the thermal actuator 600 along the reference line 28.

25 FIG. 29 is a "cut-away" side or profile view of the thermal actuator 600 along the reference line 29.

FIG. 30 is a "cut-away" side or profile view of the thermal actuator 600 along the reference line 30.

FIG. 31 is an elevated top-down "birds-eye" view of the sixth embodiment 700 of the thermal actuator, including reference lines 32-36.

FIG. 32 is a "cut-away" side or profile view of the thermal actuator 700 along the reference line 32.

5 FIG. 33 is a "cut-away" side or profile view of the thermal actuator 700 along the reference line 33.

FIG. 34 is a "cut-away" side or profile view of the thermal actuator 700 along the reference line 34.

10 FIG. 35 is a "cut-away" side or profile view of the thermal actuator 700 along the reference line 35.

FIG. 36 is a "cut-away" side or profile view of the thermal actuator 700 along the reference line 36.

FIG. 37 is an elevated top-down "birds-eye" view of the seventh embodiment 800 of the thermal actuator, including reference lines 38-42.

15 FIG. 38 is a "cut-away" side or profile view of the thermal actuator 800 along the reference line 38.

FIG. 39 is a "cut-away" side or profile view of the thermal actuator 800 along the reference line 39.

20 FIG. 40 is a "cut-away" side or profile view of the thermal actuator 800 along the reference line 40.

FIG. 41 is a "cut-away" side or profile view of the thermal actuator 800 along the reference line 41.

FIG. 42 is a "cut-away" side or profile view of the thermal actuator 800 along the reference line 42.

25 FIG. 43 is an elevated top-down "birds-eye" view of then eighth embodiment 900 of the thermal actuator, including reference lines 44-48.

FIG. 44 is a "cut-away" side or profile view of the thermal actuator 900 along the reference line 44.

FIG. 45 is a "cut-away" side or profile view of the thermal actuator 900 along the reference line 45.

FIG. 46 is a "cut-away" side or profile view of the thermal actuator 900 along the reference line 46.

5 FIG. 47 is a "cut-away" side or profile view of the thermal actuator 900 along the reference line 47.

FIG. 48 is a "cut-away" side or profile view of the thermal actuator 900 along the reference line 48.

10 FIG. 49 is an elevated top-down "birds-eye" view of the ninth embodiment 1000 of the thermal actuator 1000, including reference lines 50-54.

FIG. 50 is a "cut-away" side or profile view of the thermal actuator 1000 along the reference line 50.

15 FIG. 51 is a "cut-away" side or profile view of the thermal actuator 1000 along the reference line 51.

FIG. 52 is a "cut-away" side or profile view of the thermal actuator 1000 along the reference line 52.

FIG. 53 is a "cut-away" side or profile view of the thermal actuator 1000 along the reference line 53.

20 FIG. 54 is a "cut-away" side or profile view of the thermal actuator 1000 along the reference line 54.

#### **DETAILED DESCRIPTION OF THE INVENTION**

The term "neutral axis" is common and well-known, and may be defined as the line of zero fiber stress in any given section of a member 25 subject to bending. The website [www.wordreference.com](http://www.wordreference.com) defines the term as "the line or plane through the section of a beam or plate which does not suffer extension or compression when the beam or plate bends". See also the website [www.hyperdictionary.com](http://www.hyperdictionary.com), which defines the term as "that line or plane, in a beam under transverse pressure, at which the fibers are neither

stretched nor compressed, or where the longitudinal stress is zero". The term is also discussed in John J. Horan, "Bilaminar transducers", U.S. Pat. No. 3,158,762, issued 24 November 1964, at col. 3, lines 42-47; and in William S. Bachman, "Phonograph pickup", U.S. Pat. No. 2,511,664, issued 13 June 5 1950, from col. 3, line 72 to col. 4, line 1.

Referring now to the optical waveguide switches 100a, 100b, 100c and their corresponding thermal actuators 200, 300, 400 described below in connection with FIGS. 1-12, in brief, a thermal actuator 200, 300 or 400 comprises a plurality of substantially straight and parallel beams 10 arranged to form a beam array. The midpoint of each beam is attached or coupled to an orthogonal coupling beam. Each array beam has a beam heating parameter with a corresponding beam heating parameter value. The beam heating parameter values vary across the beam array based on a predetermined pattern. As the beams are heated by an included heating 15 means, the distribution of beam temperatures in the beam array becomes asymmetric, thus causing the beam array to buckle. The buckling of the beams in the beam array, in turn, causes the attached coupling beam to translate or move in a predetermined direction. The coupling beam movement, in turn, operates an included optical waveguide switch 100a, 100b 20 or 100c. The beams in the beam array are heated by any of Joule heating, eddy current heating, conduction heating, convection heating and radiation heating.

Referring now to the optical waveguide switches 100d and 100f and their corresponding thermal actuators 500 and 700 described below in 25 connection with FIGS. 13, 15, 19-24 and 31-36, in brief, a thermal actuator 500 or 700 comprises a substantially straight beam 510 or 710. The beam has a beam length 518 or 718 and a beam mid-point 519 or 719. The beam comprises a plurality of beam segments 520, 522, 524 or 720, 722, 724 with corresponding beam segment widths 525, 526, 527 or 725, 726, 727. The

beam segment widths vary along the beam length based on a predetermined pattern. As the beam is heated by an included heating means, the beam buckles. The buckling of the beam, in turn, causes the beam mid-point to translate or move in a predetermined direction 548 or 748. The beam 5 midpoint movement, in turn, operates an included optical waveguide switch 100d or 100f. The heating means comprises any of Joule heating, eddy current heating, conduction heating, convection heating and radiation heating.

Referring now to the optical waveguide switches 100e and 100g and their corresponding thermal actuators 600 and 800 described below in 10 connection with FIGS. 14, 16, 25-30 and 37-42, in brief, a thermal actuator 600 or 800 comprises a plurality of beams 610a, 610b, 610c or 810a, 810b, 810c, each beam substantially similar to the beam 510 or 710 described above, the plurality of beams arranged to form a beam array 613 or 813. The midpoint of each beam is attached or coupled to an orthogonal coupling beam 15 614 or 814. As the plurality of beams are heated by an included heating means, the beam array buckles. The buckling of the beams in the beam array, in turn, causes the attached coupling beam to move in a predetermined direction 648 or 848. The coupling beam movement, in turn, operates an included optical waveguide switch 100e or 100g. The heating means 20 comprises any of Joule heating, eddy current heating, conduction heating, convection heating and radiation heating.

Referring now to the optical waveguide switch 100h and its corresponding thermal actuator 900 described below in connection with FIGS. 17 and 43-48, in brief, a thermal actuator 900 comprises a substantially 25 straight beam 910. The beam has a beam length 918 and a beam mid-point 919. The beam comprises a plurality of beam segments 920, 921, 922, 923, 924 with beam segment lengths. Each beam segment has a beam segment neutral axis, thus forming a corresponding plurality of beam segment neutral axes 913, 914, 915, 916, 917. The beam segment neutral axes are offset

along the beam length based on a predetermined pattern. As the beam is heated by an included heating means, the beam buckles. The buckling of the beam, in turn, causes the beam mid-point to translate or move in the predetermined direction. The beam mid-point movement, in turn, operates an included optical waveguide switch 100h. The heating means comprises any of Joule heating, eddy current heating, conduction heating, convection heating and radiation heating.

Referring now to the optical waveguide switch 100i and its corresponding thermal actuator 1000 described below in connection with FIGS. 18 and 49-54, in brief, a thermal actuator 1000 comprises a plurality of beams 1010a, 1010b, 1010c, the plurality of beams arranged to form a beam array 1009. Each beam comprises a plurality of beam segments 1020, 1021, 1022, 1023, 1024. Each beam segment has a beam segment neutral axis, the plurality of beams thus forming a corresponding plurality of beam segment neutral axes 1013a, 1014a, 1015a, 1016a, 1017a; 1013b, 1014b, 1015b, 1016b, 1017b; 1013c, 1014c, 1015c, 1016c, 1017c. The plurality of beam segment neutral axes corresponding to each beam vary along the beam length based on a predetermined pattern. The midpoint 1019 of each beam is attached or coupled to an orthogonal coupling beam 1005. As the plurality of beams are heated by an included heating means, the beam array buckles. The buckling of the beams in the beam array, in turn, causes the attached coupling beam to move in a predetermined direction 1048. The coupling beam movement, in turn, operates an included optical waveguide switch 100i. The heating means comprises any of Joule heating, eddy current heating, conduction heating, convection heating and radiation heating.

Referring now to FIG. 1, there is shown a block diagram of an optical waveguide switch 100a comprising a first embodiment 200 of a thermal actuator. The thermal actuator 200 is described in greater detail in connection with FIGS. 4-6 below.

Referring now to FIG. 2, there is shown a block diagram of an optical waveguide switch 100b comprising a second embodiment 300 of thermal actuator. The thermal actuator 300 is described in greater detail in connection with FIGS. 7-9 below.

5 Referring now to FIG. 3, there is shown a block diagram of an optical waveguide switch 100c comprising a third embodiment 400 of a thermal actuator. The thermal actuator 400 is described in greater detail in connection with FIGS. 10-12 below.

10 Examples of optical waveguide switches that incorporate thermal actuators have been described in the application of Joel Kubby, U.S. Pat. Application No. 60/456,086, filed March 19, 2003; and in the applications of Joel Kubby et al., U.S. Pat. Application No. 09/986,395, filed November 8, 2001, now U.S. Patent Application Publication No. 20030086641, published May 8, 2003; and U.S. Pat. Application No. 60/456,063, filed March 19, 2003,  
15 all of the foregoing patent applications being incorporated by reference herein.

FIGS. 4-6 depict the thermal actuator 200 in greater detail.

Referring now to FIG. 4, there is shown an elevated top-down "birds-eye" view of the thermal actuator 200, including a first reference line 5 and a second reference line 6. As shown, the thermal actuator 200 comprises a substrate 202 having a surface 204; a first support 206 and a second support 208 disposed on the surface and extending orthogonally therefrom, a plurality of beams 212a - 212d extending in parallel between the first support and the second support, thus forming a beam array 214, each beam being  
25 agonic and substantially straight; each beam of the beam array having a beam width 226 with a corresponding beam width value, the beams in the beam array having beam width values that vary based on a predetermined pattern; and an included coupling beam 220 extending orthogonally across the beam array to couple each array beam substantially at its midpoint.

The predetermined pattern is characterized in that, across the beam array 214 from one side 250 of the beam array to the opposite side 252 of the beam array, successive beam width values do not decrease and at least sometimes increase.

5           Each pair 222 of adjacent beams in the beam array 214 has a beam spacing 224 with a corresponding beam spacing value, with all such pairs of adjacent beams in the beam array having substantially the same beam spacing value.

As shown in FIG. 4, with cross-reference to FIGS. 5-6, in one  
10 embodiment, the thermal actuator 200 includes a heater layer 228 disposed on the surface facing the plurality of beams and arranged to heat the plurality of beams. The heater layer is coupled to a heater layer input 238 and a heater layer output 240 and arranged to cause or form a heating of the plurality of beams.

15           The heater layer 228 can be thermally isolated from the substrate as described in U.S. Patents Number 5,706,041 and Number 5,851,412 to Joel Kubby, both of which patents are incorporated by reference herein.

Further, in one embodiment, each beam of the plurality of  
20 beams is arranged to be heated by a beam heater current 246 supplied by an included beam input 242 and beam output 244, thus resulting in a heating of the plurality of beams.

The plurality of beams can be thermally isolated from the substrate as described in the application of Joel Kubby, U.S. Pat. Application  
25 No. 09/683,533, filed January 16, 2002, now U.S. Patent Application Publication No. 20030134445, published July 17, 2003, which patent application is incorporated by reference herein.

As shown, the plurality of beams is arranged so that the heating of the plurality of beams causes a beam buckling and the coupling beam to

translate in a predetermined direction 248. In one embodiment, the heating of the plurality of beams is supplied by the heater layer 228. In another embodiment, the heating of the plurality of beams is supplied by the beam heater current 246. In still another embodiment, the heating of the plurality of beams is supplied by a combination of the heater layer 228 and the beam heater current 246.

Referring generally to FIGS. 4-6, in one embodiment, each beam of the plurality of beams is fabricated of a low-conductivity material of either monocrystalline silicon or polycrystalline silicon.

10           In one embodiment, each beam of the plurality of beams is fabricated in a device layer 230 of a silicon-on-insulator wafer 232.

A method for fabricating the plurality of beams in a device layer of a silicon-on-insulator wafer is described in the U.S. Patents to Phillip D. Floyd et al., Number 6,002,507 and Number 6,014,240; and in the U.S. 15 Patents to Joel Kubby et al., Number 6,362,512 and Number 6,379,989, all of the foregoing patents being incorporated by reference herein.

In one embodiment, the first support 206 and second support 208 are fabricated in a buried oxide layer 234 of a silicon-on-insulator wafer 232.

20           FIGS. 7-9 depict the thermal actuator 300 in greater detail.

Referring now to FIG. 7, there is shown an elevated top-down "birds-eye" view of the thermal actuator 300, including a first reference line 8 and a second reference line 9. As shown, the thermal actuator 300 comprises a substrate 302 having a surface 304; a first support 306 and a second support 308 disposed on the surface and extending orthogonally therefrom, a plurality of beams extending in parallel between the first support and the second support, thus forming a beam array 314, each beam being agonic and substantially straight; each pair 322 of adjacent beams in the beam array defining a beam spacing with a corresponding beam spacing value, the pairs

of adjacent beams in the beam array having beam spacing values that vary based on a predetermined pattern; and an included coupling beam 320 extending orthogonally across the beam array to couple each array beam substantially at its midpoint.

5                 The predetermined pattern is characterized in that, across the beam array 314 from one side 350 of the beam array to the opposite side 352 of the beam array, successive beam spacing values do not decrease and at least sometimes increase.

10                Each beam of the beam array 314 has a beam width 326 with a corresponding beam width value, with all beams of the beam array having substantially the same beam width value.

15                As shown in FIG. 7, with cross-reference to FIGS. 8-9, in one embodiment, the thermal actuator 300 includes a heater layer 328 disposed on the surface facing the plurality of beams and arranged to heat the plurality of beams. The heater layer is coupled to a heater layer input 338 and a heater layer output 340, and is arranged to cause or form a heating of the plurality of beams.

20                Further, in one embodiment, each beam of the plurality of beams is arranged to be heated by a beam heater current 346 supplied by an included beam input 342 and beam output 344, thus resulting in a heating of the plurality of beams.

25                As shown, the plurality of beams is arranged so that the heating of the plurality of beams causes a beam buckling and the coupling beam to translate in a predetermined direction 348. In one embodiment, the heating of the plurality of beams is supplied by the heater layer 328. In another embodiment, the heating of the plurality of beams is supplied by the beam heater current 346. In still another embodiment, the heating of the plurality of beams is supplied by a combination of the heater layer 328 and the beam heater current 346.

Referring generally to FIGS. 7-9, in one embodiment, each beam of the plurality of beams is fabricated of a low-conductivity material of either monocrystalline silicon or polycrystalline silicon.

In one embodiment, each beam of the plurality of beams is  
5 fabricated in a device layer 330 of a silicon-on-insulator wafer 332.

In one embodiment, the first support 306 and the second support 308 are fabricated in a buried oxide layer 334 of a silicon-on-insulator wafer 332.

FIGS. 10-12 depict the thermal actuator 400 in greater detail.  
10 Referring now to FIG. 10, there is shown an elevated top-down "birds-eye" view of the thermal actuator 400, including a first reference line 11 and a second reference line 12. As shown, the thermal actuator 400 comprises a substrate 402 having a surface 404; a first support 406 and a second support 408 disposed on the surface and extending orthogonally therefrom, a plurality of beams 412a - 412e extending in parallel between the first support and the second support, thus forming a beam array 414, each beam being agonic and substantially straight; each beam of the beam array having a beam resistance 436 with a corresponding beam resistance value, the beams in the beam array having beam resistance values that vary based  
15 on a predetermined pattern; and an included coupling beam 420 extending orthogonally across the beam array to couple each array beam substantially  
20 at its midpoint.  
25

The predetermined pattern is characterized in that, across the beam array 414 from one side 450 of the beam array to the opposite side 452 of the beam array, successive beam resistance values do not increase and at least sometimes decrease.

Each beam of the beam array 414 has a beam width 426 with a corresponding beam width value, with all beams of the beam array having substantially the same beam width value.

Each pair 422 of adjacent beams in the beam array 414 defines a beam spacing 424 with a corresponding beam spacing value, with all such pairs of adjacent beams in the beam array having substantially the same beam spacing value.

5 As shown in FIG. 10, with cross-reference to FIGS. 11-12, in one embodiment, each beam of the plurality of beams is arranged to be heated by a beam heater current 446 supplied by an included beam input 442 and beam output 444, thus causing or forming a heating of the plurality of beams.

10 As shown, the plurality of beams is arranged so that the heating of the plurality of beams causes a beam buckling and the coupling beam to translate in a predetermined direction 448.

15 Referring generally to FIGS. 10-12, in one embodiment, the thermal actuator 400 comprises a microelectromechanical or "MEMS" structure that is fabricated by any of surface and bulk micromachining.

In one embodiment, each beam of the plurality of beams is fabricated of a low-conductivity material of either monocrystalline silicon or polycrystalline silicon.

20 In one embodiment, each beam of the plurality of beams is fabricated in a device layer 430 of a silicon-on-insulator wafer 432.

In one embodiment, the first support 406 and the second support 408 are fabricated in a buried oxide layer 434 of a silicon-on-insulator wafer 432.

25 Referring again to FIGS. 4-6, there is described below a further aspect of the thermal actuator 200.

In FIGS. 4-6 there is shown the thermal actuator 200 comprising a substrate 202 having a surface 204; a first support 206 and a second support 208 disposed on the surface and extending orthogonally therefrom, a plurality of beams 212a - 212d extending in parallel between the first support

and the second support, thus forming a beam array 214, each beam being agonic and substantially straight; each beam of the beam array having a beam heating parameter 254 with a corresponding beam heating parameter value, the beams in the beam array having beam heating parameter values  
5 that vary based on a predetermined pattern; and an included coupling beam 220 extending orthogonally across the beam array to couple each array beam substantially at its midpoint.

An example of a beam heating parameter 254 is the beam width 226. The beam width w will effect the heat flow  $\partial Q/\partial t$  through the beam under  
10 a temperature gradient  $\partial T/\partial x$  as determined by Fourier's law of heat conduction in one dimension;

$$\partial Q/\partial t = \lambda(T)A\partial T/\partial x ;$$

where the beam cross-section area A is given by the product of the beam width w and the beam thickness t;

15  $A = (w)(t);$

and  $\lambda(T)$  is the temperature-dependent thermal conductivity of the beam. The beam width w will also effect the heat capacity of the beam, and thus the temperature of the beam as a function of time for a given heat input Q as given in one dimension by the heat equation;

20  $\rho C\partial T/\partial t - \lambda(T)\partial T^2/\partial x^2 = Q + h(T_{ext} - T)$

where  $\rho$  is the density of the beam, C is the heat capacity of the beam, h is the convective heat transfer coefficient, and  $T_{ext}$  is the external temperature. For a given beam thickness t, a wider beam width w will increase the heat capacity of the beam, and thus decrease the temperature  
25 the beam will reach after a certain amount of time for a given heat input Q.

A further example of a beam heating parameter 254 is the beam spacing 224. Heat can be transferred between beams by conduction, convection and radiation. The smaller the beam spacing, the greater the heat transfer between beams. Heat lost by one beam can be transferred to a

nearby beam, and vice-versa. Heat can also be lost from beams by conduction, convection and radiation to the surrounding environment. The larger the beam spacing, the greater the heat loss from a beam to the surrounding environment.

5                 A final example of a beam heating parameter 254 is the beam electrical resistance R. The beam resistance R will effect the amount of heat Q generated by a current I flowing through a beam with a resistance R for a time t by;

$$Q = I^2Rt$$

10                 as given by Joule's law.

Each beam of the beam array 214 is characterized by an average beam temperature 236a - 236d, the average beam temperatures of the array beams thus forming an average beam temperature distribution 256. Further, there is provided heating means to heat each beam of the plurality of beams, thus causing or forming a heating of the plurality of beams. The heating means includes any of direct current Joule heating, by passing a beam heater current such as, for example, the beam current 246 through each beam, and indirect heating by conduction, convection or radiation from a heater layer such as, for example, the heater layer 228 disposed on the substrate, by passing a heater current through the heater layer. Further, in embodiments using a heater layer, the heater layer can be thermally isolated from the substrate as described in U.S. Patents Number 5,706,041 and Number 5,851,412 to Joel Kubby, and in U.S. Patent Number 6,362,512 to Joel Kubby et al., all of which patents are incorporated by reference herein.

25                 The predetermined pattern is characterized in that, across the beam array 214 from one side 250 of the beam array to the opposite side 252 of the beam array, successive beam heating parameter values are arranged so that the beam temperature distribution becomes asymmetric based on the heating of the plurality of beams.

As shown, the plurality of beams is arranged so that the heating of the plurality of beams causes a beam buckling and the coupling beam 220 to translate in a predetermined direction 248.

Further heating of the plurality of the beams causes further  
5 expansion of the beams, thus causing the coupling beam to further translate in the predetermined direction 248.

In one embodiment, the heating of the plurality of beams comprises any of Joule heating, eddy current heating, conduction heating, convection heating and radiation heating.

10 Referring again to FIGS. 7-9, there is described below a further aspect of the thermal actuator 300.

In FIGS. 7-9 there is shown the thermal actuator 300 comprising a substrate 302 having a surface 304; a first support 306 and a second support 308 disposed on the surface and extending orthogonally therefrom, a plurality of beams 312a - 312e extending in parallel between the first support and the second support, thus forming a beam array 314, each beam being agonic and substantially straight; each beam of the beam array having a beam heating parameter 354 with a corresponding beam heating parameter value, the beams in the beam array having beam heating parameter values that vary based on a predetermined pattern; and an included coupling beam 320 extending orthogonally across the beam array to couple each array beam substantially at its midpoint.  
15  
20

Each beam of the beam array 314 is characterized by an average beam temperature, the average beam temperatures of the array beams thus forming an average beam temperature distribution. Further, there is provided heating means to heat each beam of the plurality of beams, thus causing or forming a heating of the plurality of beams. The heating means includes any of direct current Joule heating, by passing a beam heater current such as, for example, the beam current 346 through each beam, and indirect  
25

heating by conduction, convection or radiation from a heater layer such as, for example, the heater layer 328 disposed on the substrate, by passing a heater current through the heater layer. Further, in embodiments using a heater layer, the heater layer can be thermally isolated from the substrate as  
5 described in U.S. Patents Number 5,706,041 and Number 5,851,412 to Joel Kubby, and in U.S. Patent Number 6,362,512 to Joel Kubby et al., all of which patents are incorporated by reference herein.

The predetermined pattern is characterized in that, across the beam array 314 from one side 350 of the beam array to the opposite side 352 of the beam array, successive beam heating parameter values are arranged so that the beam temperature distribution becomes asymmetric based on the heating of the plurality of beams.  
10

As shown, the plurality of beams is arranged so that the heating of the plurality of beams causes a beam buckling and the coupling beam 320 to translate in a predetermined direction 348.  
15

In one embodiment, the heating of the plurality of beams comprises any of Joule heating, eddy current heating, conduction heating, convection heating and radiation heating.

Referring again to FIGS. 10-12, there is described below a  
20 further aspect of the thermal actuator 400.

In FIGS. 10-12 there is shown the thermal actuator 400 comprising a substrate 402 having a surface 404; a first support 406 and a second support 408 disposed on the surface and extending orthogonally therefrom, a plurality of beams 412a - 412e extending in parallel between the  
25 first support and the second support, thus forming a beam array 414, each beam being agonic and substantially straight; each beam of the beam array having a beam heating parameter 454 with a corresponding beam heating parameter value, the beams in the beam array having beam heating parameter values that vary based on a predetermined pattern; and an

included coupling beam 420 extending orthogonally across the beam array to couple each array beam substantially at its midpoint.

Each beam of the beam array 414 is characterized by an average beam temperature, the average beam temperatures of the array beams thus forming an average beam temperature distribution. Further, there is provided heating means to heat each beam of the plurality of beams, thus causing or forming a heating of the plurality of beams. The heating means includes any of direct current Joule heating, by passing a beam heater current such as, for example, the beam current 446 through each beam, and indirect heating by conduction, convection or radiation from a heater layer such as, for example, the heater layer 428 disposed on the substrate, by passing a heater current through the heater layer. Further, in embodiments using a heater layer, the heater layer can be thermally isolated from the substrate as described in U.S. Patents Number 5,706,041 and Number 5,851,412 to Joel Kubby, and in U.S. Patent Number 6,362,512 to Joel Kubby et al., all of which patents are incorporated by reference herein.

The predetermined pattern is characterized in that, across the beam array 414 from one side 450 of the beam array to the opposite side 452 of the beam array, successive beam heating parameter values are arranged so that the beam temperature distribution becomes asymmetric based on the heating of the plurality of beams.

As shown, the plurality of beams is arranged so that the heating of the plurality of beams causes a beam buckling and the coupling beam 420 to translate in a predetermined direction 448.

In one embodiment, the heating of the plurality of beams comprises any of Joule heating, eddy current heating, conduction heating, convection heating and radiation heating.

Referring now to FIG. 13, there is shown a block diagram of an optical waveguide switch 100d comprising a fourth embodiment 500 of a

thermal actuator. The thermal actuator 500 is described in greater detail in connection with FIGS. 19-24 below.

Referring now to FIG. 14, there is shown a block diagram of an optical waveguide switch 100e comprising a fifth embodiment 600 of a thermal actuator. The thermal actuator 600 is described in greater detail in connection with FIGS. 25-30 below.

Referring now to FIG. 15, there is shown a block diagram of an optical waveguide switch 100f comprising a sixth embodiment 700 of a thermal actuator. The thermal actuator 700 is described in greater detail in connection with FIGS. 31-36 below.

Referring now to FIG. 16, there is shown a block diagram of an optical waveguide switch 100g comprising a seventh embodiment 800 of a thermal actuator. The thermal actuator 800 is described in greater detail in connection with FIGS. 37-42 below.

Referring now to FIG. 17, there is shown a block diagram of an optical waveguide switch 100h comprising an eighth embodiment 900 of a thermal actuator. The thermal actuator 900 is described in greater detail in connection with FIGS. 43-48 below.

Referring now to FIG. 18, there is shown a block diagram of an optical waveguide switch 100i comprising a ninth embodiment 1000 of a thermal actuator. The thermal actuator 1000 is described in greater detail in connection with FIGS. 49-54 below.

FIGS. 19-24 depict the thermal actuator 500 in greater detail.

Referring now to FIG. 19, there is shown an elevated top-down "birds-eye" view of the thermal actuator 500, including five (5) reference lines numbered 20-24.

As shown in FIGS. 19-24, the thermal actuator 500 comprises a substrate 502 having a surface 504; a first support 506 and a second support 508 disposed on the surface 504 and extending orthogonally therefrom; a

beam 510 extending between the first support 506 and the second support 508, the beam 510 having a first side 511, a second side 512, a beam length 518 and a beam mid-point 519, the beam 510 being substantially straight along the first side 511; the beam comprised of a plurality of beam segments 520, 522, 524, each beam segment of the plurality of beam segments having a beam segment width 525, 526, 527 orthogonal to the beam length 518, the beam 510 thus forming a corresponding plurality of beam segment widths; wherein the plurality of beam segment widths 525, 526, 527 corresponding to the beam 510 vary along the beam length 518 based on a predetermined pattern; so that a heating of the beam 510 causes a beam buckling and the beam mid-point 519 to translate in a predetermined direction 548 generally normal to and outward from the second side 512.

As shown in FIG. 19, in one embodiment, the predetermined pattern is characterized in that, along the beam length 518 from the first support 506 to the beam mid-point 519, beam segment widths 525, 526 corresponding to successive beam segments 520, 522 do not decrease and at least sometimes increase, and along the beam length 518 from the beam mid-point 519 to the second support 508, beam segment widths 526, 527 corresponding to successive beam segments 522, 524 do not increase and at least sometimes decrease.

In one embodiment, the heating of the beam 510 is provided by an included heater layer 528 disposed on the surface 504, the heater layer coupled to a heater layer input 538 and a heater layer output 540.

In another embodiment, the heating of the beam 510 is provided by a beam heater current 546 supplied by an included beam input 542 and beam output 544.

In one embodiment, the beam is fabricated of a low-conductivity material of either monocrystalline silicon or polycrystalline silicon.

In another embodiment, the beam is fabricated in a device layer of a silicon-on-insulator wafer.

As shown in FIG. 19, in one embodiment, the beam 510 comprises exactly three (3) beam segments 520, 522, 524.

5 In another embodiment, the beam 510 comprises a plurality (n) of beam segments, where n does not equal 3. In this embodiment, for example, n equals 2, 4, 5, 12, 15, 32, 82, 109, 188, 519, 1003, etc.

10 As shown in FIG. 19, in one embodiment, the beam 510 comprises exclusively beam segments 520, 522, 524 having substantially parallel sides.

As further shown in FIG. 19, in one embodiment, the beam 510 comprises exactly two (2) beam segments 520, 524 that are substantially equal with respect to their corresponding beam segment lengths and beam segment widths 525, 527.

15 FIGS. 25-30 depict the thermal actuator 600 in greater detail.

Referring now to FIG. 25, there is shown an elevated top-down "birds-eye" view of the thermal actuator 600, including five (5) reference lines numbered 26-30.

20 As shown in FIGS. 25-30, the thermal actuator 600 comprises a substrate 602 having a surface 604; a first support 606 and a second support 608 disposed on the surface 604 and extending orthogonally therefrom; a plurality of beams 610a, 610b, 610c extending in parallel between the first support 606 and the second support 608, thus forming a beam array 613; each beam 610a, 610b, 610c of the beam array 613 having a first side 611a, 611b, 611c, a second side 612a, 612b, 612c, a beam length 618 and a beam mid-point 619, each beam being substantially straight along its first side 611a, 611b, 611c; each beam 610a, 610b, 610c of the beam array 613 comprised of a plurality of beam segments 620, 622, 624, each beam segment of the plurality of beam segments having a beam segment width 625a, 626a, 627a;

625b, 626b, 627b; 625c, 626c, 627c orthogonal to the beam length 618, each beam thus forming a corresponding plurality of beam segment widths; wherein the plurality of beam segment widths 625a, 626a, 627a; 625b, 626b, 627b; 625c, 626c, 627c corresponding to each beam 610a, 610b, 610c vary along

5 the beam length 618 based on a predetermined pattern; an included coupling beam 614 extending orthogonally across the beam array 613 to couple each beam 610a, 610b, 610c of the beam array 613 substantially at the corresponding beam mid-point 619; so that a heating of the beam array causes a beam array buckling and the coupling beam 614 to translate in a

10 predetermined direction 648 generally normal to and outward from the second sides 612a, 612b, 612c of the array beams 610a, 610b, 610c.

In one embodiment, the predetermined pattern is characterized in that, along the beam length 618 from the first support 606 to the beam mid-point 619, beam segment widths 625a, 626a, 627a; 625b, 626b, 627b corresponding to successive beam segments 620, 622 do not decrease and at least sometimes increase, and along the beam length 618 from the beam mid-point 619 to the second support 608, beam segment widths 625b, 626b, 627b; 625c, 626c, 627c corresponding to successive beam segments 622, 624 do not increase and at least sometimes decrease.

15

20 In one embodiment, the heating of the beam array is provided by an included heater layer 628 disposed on the surface 604, the heater layer coupled to a heater layer input 638 and a heater layer output 640.

In another embodiment, each beam of the beam array is heated by a beam heater current 646a, 646b, 646c supplied by an included beam input 642 and beam output 644, thus forming the heating of the beam array.

25

In one embodiment, each beam of the beam array is fabricated of a low-conductivity material of either monocrystalline silicon or polycrystalline silicon.

In another embodiment, each beam of the beam array is fabricated in a device layer of a silicon-on-insulator wafer.

As shown in FIG. 25, in one embodiment, each beam 610a, 610b, 610c of the beam array 613 comprises exactly three (3) beam segments  
5 620, 622, 624.

In another embodiment, each beam of the beam array 613 comprises a plurality (n) of beam segments, where n does not equal 3. In this embodiment, for example, n equals 2, 4, 5, 12, 15, 32, 82, 109, 188, 519, 1003, etc.

10 As shown in FIG. 25, in one embodiment, the beam array 613 comprises exactly three (3) beams.

In another embodiment, the beam array 613 comprises a plurality (n) of beams, where n does not equal 3. In this embodiment, for example, n equals 2, 4, 5, 12, 15, 32, 82, 109, 188, 519, 1003, etc.

15 FIGS. 31-36 depict the thermal actuator 700 in greater detail.

Referring now to FIG. 31, there is shown an elevated top-down "birds-eye" view of the thermal actuator 700, including five (5) reference lines numbered 32-36.

As shown in FIGS. 31-36, the thermal actuator 700 comprises a  
20 substrate 702 having a surface 704; a first support 706 and a second support 708 disposed on the surface 704 and extending orthogonally therefrom; a beam 710 extending between the first support 706 and the second support 708, the beam 710 having a first side 711, a second side 712, a beam length 718 and a beam mid-point 719, the beam 710 being substantially straight  
25 along the second side 712; the beam comprised of a plurality of beam segments 720, 722, 724, each beam segment of the plurality of beam segments being having a beam segment width 725, 726, 727 orthogonal to the beam length 718, the beam 710 thus forming a corresponding plurality of beam segment widths; wherein the plurality of beam segment widths 725,

726, 727 corresponding to the beam 710 vary along the beam length 718 based on a predetermined pattern; so that a heating of the beam 710 causes a beam buckling and the beam mid-point 719 to translate in a predetermined direction 748 generally normal to and outward from the second side 712.

5 As shown in FIG. 31, in one embodiment, the predetermined pattern is characterized in that, along the beam length 718 from the first support 706 to the beam mid-point 719, beam segment widths 725, 726 corresponding to successive beam segments 720, 722 do not increase and at least sometimes decrease, and along the beam length 718 from the beam  
10 mid-point 719 to the second support 708, beam segment widths 726, 727 corresponding to successive beam segments 722, 724 do not decrease and at least sometimes increase.

15 In one embodiment, the heating of the beam 710 is provided by an included heater layer 728 disposed on the surface 704, the heater layer coupled to a heater layer input 738 and a heater layer output 740.

In another embodiment, the heating of the beam 710 is provided by a beam heater current 746 supplied by an included beam input 742 and beam output 744.

20 In one embodiment, the beam is fabricated of a low-conductivity material of either monocrystalline silicon or polycrystalline silicon.

In another embodiment, the beam is fabricated in a device layer of a silicon-on-insulator wafer.

As shown in FIG. 31, in one embodiment, the beam 710 comprises exactly three (3) beam segments 720, 722, 724.

25 In another embodiment, the beam 710 comprises a plurality (n) of beam segments, where n does not equal 3. In this embodiment, for example, n equals 2, 4, 5, 12, 15, 32, 82, 109, 188, 519, 1003, etc.

As shown, in one embodiment, the beam 710 comprises exclusively beam segments 720, 722, 724 having substantially parallel sides.

As shown, in one embodiment, the beam 710 comprises exactly two (2) beam segments 720, 724 that are substantially equal with respect to their corresponding beam segment lengths and beam segment widths 725, 727.

FIGS. 37-42 depict the thermal actuator 800 in greater detail.

5 Referring now to FIG. 37, there is shown an elevated top-down "birds-eye" view of the thermal actuator 800, including five (5) reference lines numbered 38-42.

As shown in FIGS. 37-42, the thermal actuator 800 comprises a substrate 802 having a surface 804; a first support 806 and a second support 808 disposed on the surface 804 and extending orthogonally therefrom; a plurality of beams 810a, 810b, 810c extending in parallel between the first support 806 and the second support 808, thus forming a beam array 813; each beam 810a, 810b, 810c of the beam array 813 having a first side 811a, 811b, 811c, a second side 812a, 812b, 812c, a beam length 818 and a beam mid-point 819, each beam being substantially straight along its second side 812a, 812b, 812c; each beam 810a, 810b, 810c of the beam array 813 comprised of a plurality of beam segments 820, 822, 824, each beam segment of the plurality of beam segments having a beam segment width 825a, 826a, 827a; 825b, 826b, 827b; 825c, 826c, 827c orthogonal to the beam length 818, each beam thus forming a corresponding plurality of beam segment widths; wherein the plurality of beam segment widths 825a, 826a, 827a; 825b, 826b, 827b; 825c, 826c, 827c corresponding to each beam 810a, 810b, 810c vary along the beam length 818 based on a predetermined pattern; an included coupling beam 814 extending orthogonally across the beam array 813 to couple each beam 810a, 810b, 810c of the beam array 813 substantially at the corresponding beam mid-point 819; so that a heating of the beam array causes a beam array buckling and the coupling beam 814 to translate in a predetermined direction 848 generally normal to and outward

from the second sides 812a, 812b, 812c of the array beams 810a, 810b, 810c.

As shown in FIG. 37, in one embodiment, the predetermined pattern is characterized in that, along the beam length 818 from the first support 806 to the beam mid-point 819, beam segment widths 825a, 826a, 827a; 825b, 826b, 827b corresponding to successive beam segments 820, 822 do not increase and at least sometimes decrease, and along the beam length 818 from the beam mid-point 819 to the second support 808, beam segment widths 825b, 826b, 827b; 825c, 826c, 827c corresponding to successive beam segments 822, 824 do not decrease and at least sometimes increase.

In one embodiment, the heating of the beam array is provided by an included heater layer 828 disposed on the surface 804, the heater layer coupled to a heater layer input 838 and a heater layer output 840.

In another embodiment, each beam of the beam array is heated by a beam heater current 846a, 846b, 846c supplied by an included beam input 842 and beam output 844, thus forming the heating of the beam array.

In one embodiment, each beam of the beam array is fabricated of a low-conductivity material of either monocrystalline silicon or polycrystalline silicon.

In another embodiment, each beam of the beam array is fabricated in a device layer of a silicon-on-insulator wafer.

As shown in FIG. 37, in one embodiment, each beam 810a, 810b, 810c of the beam array 813 comprises exactly three (3) beam segments 820, 822, 824.

In another embodiment, each beam of the beam array 813 comprises a plurality (n) of beam segments, where n does not equal 3. In this embodiment, for example, n equals 2, 4, 5, 12, 15, 32, 82, 109, 188, 519, 1003, etc.

As shown in FIG. 37, in one embodiment, the beam array 813 comprises exactly three (3) beams.

In another embodiment, the beam array 813 comprises a plurality (n) of beams, where n does not equal 3. In this embodiment, for 5 example, n equals 2, 4, 5, 12, 15, 32, 82, 109, 188, 519, 1003, etc.

FIGS. 43-48 depict the thermal actuator 900 in greater detail.

Referring now to FIG. 43, there is shown an elevated top-down "birds-eye" view of the thermal actuator 900, including five (5) reference lines numbered 44-48.

10 As shown in FIGS. 43-48, the thermal actuator 900 comprises a substrate 902 having a surface 904; a first support 906 and a second support 908 disposed on the surface 904 and extending orthogonally therefrom; a beam 910 extending between the first support 906 and the second support 908, the beam 910 having a first side 911, a second side 912, a beam length 918 and a beam mid-point 919, the beam 910 being substantially straight along the first side 911; the beam comprised of a plurality of beam segments 920, 921, 922, 923, 924, each beam segment of the plurality of beam segments having a beam segment neutral axis 913, 914, 915, 916, 917, the beam 910 thus forming a corresponding plurality of beam segment neutral axes 913, 914, 915, 916, 917; wherein the plurality of beam segment neutral axes 913, 914, 915, 916, 917 corresponding to the beam 910 vary along the beam length 918 based on a predetermined pattern; so that a heating of the beam 910 causes a beam buckling and the beam mid-point 919 to translate in a predetermined direction 948 generally normal to and outward from the 15 second side 912.

20

25

As shown in FIG. 43, in one embodiment, the predetermined pattern is characterized in that, along the beam length 918 from the first support 906 to the beam mid-point 919, beam segment neutral axes 913, 914, 915 corresponding to successive beam segments 920, 921, 922 are not offset

towards the first side 911 and at least sometimes are offset towards the second side 912, and along the beam length 918 from the beam mid-point 919 to the second support 908, beam segment neutral axes 915, 916, 917 corresponding to successive beam segments 922, 923, 924 are not offset  
5 towards the second side 912 and at least sometimes are offset towards the first side 911.

Still referring to FIG. 43, it will be understood that the predetermined pattern of beam segment neutral axes 913, 914, 915, 916, 917 depicted therein corresponds to a first beam moment 956 and a second beam  
10 moment 958, as shown.

In one embodiment, the heating of the beam 910 is provided by an included heater layer 928 disposed on the surface 904, the heater layer coupled to a heater layer input 938 and a heater layer output 940.

In another embodiment, the heating of the beam 910 is provided  
15 by a beam heater current 946 supplied by an included beam input 942 and beam output 944.

In one embodiment, the beam is fabricated of a low-conductivity material of either monocrystalline silicon or polycrystalline silicon.

In another embodiment, the beam is fabricated in a device layer  
20 of a silicon-on-insulator wafer.

As shown in FIG. 43, in one embodiment, the beam 910 comprises exactly five (5) beam segments 920, 921, 922, 923, 924.

In another embodiment, the beam 910 comprises a plurality (n) of beam segments, where n does not equal 5. In this embodiment, for  
25 example, n equals 2, 3, 4, 6, 12, 15, 32, 82, 109, 188, 519, 1003, etc.

As shown, in one embodiment, the beam 910 comprises exactly three (3) beam segments 920, 922, 924 having substantially parallel sides.

As shown, in one embodiment, the beam 910 comprises exactly two (2) beam segments 920, 924 that are substantially equal with respect to

their corresponding beam segment lengths and beam segment widths 925, 927.

FIGS. 49-54 depict the thermal actuator 1000 in greater detail.

Referring now to FIG. 49, there is shown an elevated top-down  
5 "birds-eye" view of the thermal actuator 1000, including five (5) reference lines numbered 50-54.

As shown in FIGS. 49-54, the thermal actuator 1000 comprises a substrate 1002 having a surface 1004; a first support 1006 and a second support 1008 disposed on the surface 1004 and extending orthogonally therefrom; a plurality of beams 1010a, 1010b, 1010c extending in parallel between the first support 1006 and the second support 1008, thus forming a beam array 1009; each beam 1010a, 1010b, 1010c of the beam array 1009 having a first side 1011a, 1011b, 1011c, a second side 1012a, 1012b, 1012c, a beam length 1018 and a beam mid-point 1019, each beam being  
10 substantially straight along its first side 1011a, 1011b, 1011c; each beam 1010a, 1010b, 1010c of the beam array 1009 comprised of a plurality of beam segments 1020, 1021, 1022, 1023, 1024, each beam segment of the plurality of beam segments having a beam segment neutral axis 1013a, 1014a, 1015a, 1016a, 1017a; 1013b, 1014b, 1015b, 1016b, 1017b; 1013c, 1014c, 1015c,  
15 1016c, 1017c, each beam thus forming a corresponding plurality of beam segment neutral axes; wherein the plurality of beam segment neutral axes 1013a, 1014a, 1015a, 1016a, 1017a; 1013b, 1014b, 1015b, 1016b, 1017b; 1013c, 1014c, 1015c, 1016c, 1017c corresponding to each beam 1010a, 1010b, 1010c vary along the beam length 1018 based on a predetermined  
20 pattern; an included coupling beam 1005 extending orthogonally across the beam array 1009 to couple each beam 1010a, 1010b, 1010c of the beam array 1009 substantially at the corresponding beam mid-point 1019; so that a heating of the beam array causes a beam array buckling and the coupling beam 1014 to translate in a predetermined direction 1048 generally normal to  
25

and outward from the second sides 1012a, 1012b, 1012c of the array beams 1010a, 1010b, 1010c.

As shown in FIG. 49, in one embodiment, the predetermined pattern is characterized in that, along the beam length 1018 from the first support 1006 to the beam mid-point 1019, beam segment neutral axes 1013a, 1014a, 1015a; 1013b, 1014b, 1015b; 1013c, 1014c, 1015c corresponding to successive beam segments 1020, 1021, 1022 are not offset towards the first side 1011 and at least sometimes are offset towards the second side 1012, and along the beam length 1018 from the beam mid-point 1019 to the second support 1008, beam segment neutral axes 1015a, 1016a, 1017a; 1015b, 1016b, 1017b; 1015c, 1016c, 1017c corresponding to successive beam segments 1022, 1023, 1024 are not offset towards the second side 1012 and at least sometimes are offset towards the first side 1011.

Still referring to FIG. 49, it will be understood that the predetermined pattern of beam segment neutral axes 1013a, 1014a, 1015a, 1016a, 1017a; 1013b, 1014b, 1015b, 1016b, 1017b; 1013c, 1014c, 1015c, 1016c, 1017c depicted therein corresponds to a plurality of first beam moments 1056a, 1056b, 1056c and second beam moments 1058a, 1058b, 1058c, as shown.

In one embodiment, the heating of the beam array 1009 is provided by an included heater layer 1028 disposed on the surface 1004, the heater layer coupled to a heater layer input 1038 and a heater layer output 1040.

In another embodiment, each beam of the beam array 1009 is heated by a beam heater current 1046a, 1046b, 1046c supplied by an included beam input 1042 and beam output 1044, thus forming the heating of the beam array.

In one embodiment, each beam of the beam array is fabricated of a low-conductivity material of either monocrystalline silicon or polycrystalline silicon.

5 In another embodiment, each beam of the beam array is fabricated in a device layer of a silicon-on-insulator wafer.

As shown in FIG. 49, in one embodiment, beam 1010a, 1010b, 1010c of the beam array 1009 comprises exactly five (5) beam segments 1020, 1021, 1022, 1023, 1024.

10 In another embodiment, each beam of the beam array 1009 comprises a plurality (n) of beam segments, where n does not equal 5. In this embodiment, for example, n equals 2, 3, 4, 6, 12, 15, 32, 82, 109, 188, 519, 1003, etc.

As shown in FIG. 49, in one embodiment, the beam array 1009 comprises exactly three (3) beams.

15 In another embodiment, the beam array 1009 comprises a plurality (n) of beams, where n does not equal 3. In this embodiment, for example, n equals 2, 4, 5, 12, 15, 32, 82, 109, 188, 519, 1003, etc.

The table below lists the drawing element reference numbers together with their corresponding written description:

Number:	Description:
100a	optical waveguide switch comprising the thermal actuator
5	200
100b	optical waveguide switch comprising the thermal actuator
	300
100c	optical waveguide switch comprising the thermal actuator
	400
10	100d
	optical waveguide switch comprising the thermal actuator
	500
100e	optical waveguide switch comprising the thermal actuator
	600
100f	optical waveguide switch comprising the thermal actuator
15	700
100g	optical waveguide switch comprising the thermal actuator
	800
100h	optical waveguide switch comprising the thermal actuator
	900
20	100i
	optical waveguide switch comprising the thermal actuator
	1000
200	first embodiment of a thermal actuator
202	substrate
204	surface of the substrate 202
25	206
	first support
208	second support
210	support spacing
212a-212d	plurality of beams
214	beam array

	216	first beam of the beam array 214
	218	last beam of the beam array 214
	220	coupling beam
	222	pair of adjacent beams in the beam array 214
5	224	beam spacing
	226	beam width
	228	heater layer
	230	device layer
	232	silicon-on-insulator wafer
10	234	buried oxide layer
	236	beam temperature
	238	heater layer input
	240	heater layer output
	242	beam input
15	244	beam output
	246	beam heater current
	248	predetermined direction
	250	one side of the beam array 214
	252	opposite side of the beam array 214
20	254	beam heating parameter
	256	beam temperature distribution of the beam array 214
	300	second embodiment of a thermal actuator
	302	substrate
	304	surface of the substrate 302
25	306	first support
	308	second support
	310	support spacing
	312a-312e	plurality of beams
	314	beam array

	316	first beam of the beam array 314
	318	last beam of the beam array 314
	320	coupling beam
	322	pair of adjacent beams in the beam array 314
5	324	beam spacing
	326	beam width
	328	heater layer
	330	device layer
	332	silicon-on-insulator wafer
10	334	buried oxide layer
	336	beam resistance
	338	heater layer input
	340	heater layer output
	342	beam input
15	344	beam output
	346	beam heater current
	348	predetermined direction
	350	one side of the beam array 314
	352	opposite side of the beam array 314
20	354	beam heating parameter
	400	third embodiment of a thermal actuator
	402	substrate
	404	surface of the substrate 402
	406	first support
25	408	second support
	410	support spacing
	412a-412e	plurality of beams
	414	beam array
	416	first beam of the beam array 414

	418	last beam of the beam array 414
	420	coupling beam
	422	pair of adjacent beams in the beam array 414
	424	beam spacing
5	426	beam width
	428	heater layer
	430	device layer
	432	silicon-on-insulator wafer
	434	buried oxide layer
10	436	beam resistance
	438	heater layer input
	440	heater layer output
	442	beam input
	444	beam output
15	446	beam heater current
	448	predetermined direction
	450	one side of the beam array 414
	452	opposite side of the beam array 414
	454	beam heating parameter
20	500	fourth embodiment of a thermal actuator
	502	substrate
	504	surface
	506	first support
	508	second support
25	510	beam
	511	first beam side
	512	second beam side
	515	first beam segment neutral axis
	516	second beam segment neutral axis

	517	third beam segment neutral axis
	518	beam length
	519	beam mid-point
	520	first beam segment
5	522	second beam segment
	524	third beam segment
	525	first beam segment width
	526	second beam segment width
	527	third beam segment width
10	528	heater layer
	530	device layer
	532	handle wafer
	534	buried oxide layer
	538	substrate heater electrical input
15	540	substrate heater electrical output
	542	beam heater electrical input
	544	beam heater electrical output
	546	beam heater current
	548	predetermined direction
20	554	offset between first beam segment neutral axis 515 and second beam segment neutral axis 516
	556	first beam moment
	557	offset between second beam segment neutral axis 516 and third beam segment neutral axis 517
25	558	second beam moment
	600	fifth embodiment of a thermal actuator
	602	substrate
	604	surface
	606	first support

	608	second support
	610a-610c	plurality of beams
	611a-611c	first beam side
	612a-612c	second beam side
5	613	beam array
	614	coupling beam
	615a-615c	first beam segment neutral axis
	616a-616c	second beam segment neutral axis
	617a-617c	third beam segment neutral axis
10	618	beam length
	619	beam mid-point
	620	first beam segment
	622	second beam segment
	624	third beam segment
15	625a-625c	first beam segment width
	626a-626c	second beam segment width
	627a-627c	third beam segment width
	628	heater layer
	630	device layer
20	632	handle wafer
	634	buried oxide layer
	638	substrate heater electrical input
	640	substrate heater electrical output
	642	beam heater electrical input
25	644	beam heater electrical output
	646a-646c	beam heater current
	648	predetermined direction

	654a-654c	offset between first beam segment neutral axis 615a-615c and second beam segment neutral axis 616a-616c
	656a-656c	first beam moment
5	657a-657c	offset between second beam segment neutral axis 616a-616c and third beam segment neutral axis 617a-617c
	658a-658c	second beam moment
	700	sixth embodiment of a thermal actuator
10	702	substrate
	704	surface
	706	first support
	708	second support
	710	beam
15	711	first beam side
	712	second beam side
	715	first beam segment neutral axis
	716	second beam segment neutral axis
	717	third beam segment neutral axis
20	718	beam length
	719	beam mid-point
	720	first beam segment
	722	second beam segment
	724	third beam segment
25	725	first beam segment width
	726	second beam segment width
	727	third beam segment width
	728	heater layer
	730	device layer

	732	handle wafer
	734	buried oxide layer
	738	substrate heater electrical input
	740	substrate heater electrical output
5	742	beam heater electrical input
	744	beam heater electrical output
	746	beam heater current
	748	predetermined direction
	754	offset between first beam segment neutral axis 715 and second beam segment neutral axis 716
10	756	first beam moment
	757	offset between second beam segment neutral axis 716 and third beam segment neutral axis 717
15	758	second beam moment
	800	seventh embodiment of a thermal actuator
	802	substrate
	804	surface
	806	first support
20	808	second support
	810a-810c	plurality of beams
	811a-811c	first beam side
	812a-812c	second beam side
	813	beam array
25	814	coupling beam
	815a-815c	first beam segment neutral axis
	816a-816c	second beam segment neutral axis
	817a-817c	third beam segment neutral axis
	818	beam length

	819	beam mid-point
	820	first beam segment
	822	second beam segment
	824	third beam segment
5	825a-825c	first beam segment width
	826a-826c	second beam segment width
	827a-827c	third beam segment width
	828	heater layer
	830	device layer
10	832	handle wafer
	834	buried oxide layer
	838	substrate heater electrical input
	840	substrate heater electrical output
	842	beam heater electrical input
15	844	beam heater electrical output
	846a-846c	beam heater current
	848	predetermined direction
	854a-854c	offset between first beam segment neutral axis 815a-815c and
20		second beam segment neutral axis 816a-816c
	856a-856c	first beam moment
	857a-857c	offset between second beam segment neutral axis 816a- 816c and
		third beam segment neutral axis 817a-817c
25	858a-858c	second beam moment
	900	eighth embodiment of a thermal actuator
	902	substrate
	904	surface
	906	first support

	908	second support
	910	beam
	911	first beam side
	912	second beam side
5	913	first beam segment neutral axis
	914	second beam segment neutral axis
	915	third beam segment neutral axis
	916	fourth beam segment neutral axis
	917	fifth beam segment neutral axis
10	918	beam length
	919	beam mid-point
	920	first beam segment
	921	second beam segment
	922	third beam segment
15	923	fourth beam segment
	924	fifth beam segment
	925	first beam segment average width
	926	third beam segment average width
	927	fifth beam segment average width
20	928	heater layer
	930	device layer
	931	second beam segment average width
	932	substrate
	933	fourth beam segment average width
25	934	buried oxide layer
	938	substrate heater electrical input
	940	substrate heater electrical output
	942	beam heater electrical input
	944	beam heater electrical output

	946	beam heater current
	948	predetermined direction
	954	offset between first beam segment neutral axis 913 and third beam segment neutral axis 915
5	956	first beam moment
	957	offset between third beam segment neutral axis 915 and fifth beam segment neutral axis 917
	958	second beam moment
	1000	ninth embodiment of a thermal actuator
10	1002	substrate
	1004	surface
	1005	coupling beam
	1006	first support
	1008	second support
15	1009	beam array
	1010a-1010c	plurality of beams
	1011a-1011c	first beam side
	1012a-1012c	second beam side
	1013a-1013c	first beam segment neutral axis
20	1014a-1014c	second beam segment neutral axis
	1015a-1015c	third beam segment neutral axis
	1016a-1016c	fourth beam segment neutral axis
	1017a-1017c	fifth beam segment neutral axis
	1018	beam length
25	1019	beam mid-point
	1020	first beam segment
	1021	second beam segment
	1022	third beam segment
	1023	fourth beam segment

	1024	fifth beam segment
	1025a-1025c	first beam segment average width
	1026a-1026c	third beam segment average width
	1027a-1027c	fifth beam segment average width
5	1028	heater layer
	1030	device layer
	1031a-1031c	second beam segment average width
	1032	substrate
	1033a-1033c	fourth beam segment average width
10	1034	buried oxide layer
	1038	substrate heater electrical input
	1040	substrate heater electrical output
	1042	beam heater electrical input
	1044	beam heater electrical output
15	1046a-1046c	beam heater current
	1048	predetermined direction
	1054a-1054c	offset between first beam segment neutral axis 1013a-1013c and third beam segment neutral axis 1015a-1015c
20	1056a-1056c	first beam moment
	1057a-1057c	offset between third beam segment neutral axis 1015a-1015c and fifth beam segment neutral axis 1017a-1017c
	1058a-1058c	second beam moment

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While various embodiments of a thermal actuator with offset beam segment neutral axes and an optical waveguide switch including the same, in accordance with the present invention, have been described hereinabove, the scope of the invention is defined by the following claims.